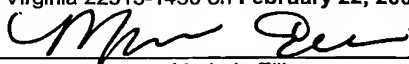




FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER PAT-01050 U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15) <div style="font-size: 1.5em; font-weight: bold;">10/525268</div>
INTERNATIONAL APPLICATION NO. PCT/EP2003/010922	INTERNATIONAL FILING DATE (02.10.2003) 02. October 2003	PRIORITY DATE CLAIMED (10.10.2002) 10. October 2002
TITLE OF INVENTION: NANOPARTICLES, METHOD FOR MODIFYING THEIR SURFACES, DISPERSION OF NANOPARTICLES, METHOD FOR THE PRODUCTION AND THE UTILIZATION THEREOF		
APPLICATION(S) FOR DO/EO/US: Andreas POPPE, Elke WESTHOFF, Winfried STÜBBE, Beate GEBAUER, and Peter MAYENFEL		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmittal by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> Is attached hereto. b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4). 7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371(c)(5)). Items 11. to 20. below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document		
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 000 414 US addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 22, 2005. <div style="text-align: center; margin-top: 10px;">  Marjorie Ellis </div>		

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.50) 10/525268		INTERNATIONAL APPLICATION NO. PCT/EP2003/006883		ATTORNEY'S DOCKET NUMBER PAT-01050	
21 <input checked="" type="checkbox"/> The following fees are submitted:				<u>CALCULATIONS</u> PTO USE ONLY	
<input checked="" type="checkbox"/> Basic National Stage Fee (1631/2631)..... \$300.00				\$	
<input checked="" type="checkbox"/> National Stage Search Fee (1632/2632)..... \$500.00				\$	
<input checked="" type="checkbox"/> National Stage Examination Fee (1633/2633)..... \$200.00				\$	
<input type="checkbox"/> National Stage Application Size Fee – For each additional 50 sheets that exceeds 100 sheets..... \$250.00				\$	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later <input type="checkbox"/> 20 <input type="checkbox"/> 30 than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate	\$	
Total Claims	32 - 20 =	12	X \$ 50.00	\$ 600.00	
Independent claims	01 - 03 =	0	X \$ 88.00		
Multiple dependent claims(s) (if applicable)			+ \$300.00		
TOTAL OF ABOVE CALCULATION =				\$1,600.00	
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				1,600.00	
				Amount to be refunded:	
				Charged	
				\$1,600.00	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>02-1201</u> in the amount of <u>\$1,600.00</u> to cover the above fees. A triplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-1201</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between;"> <div> <p>BASF CORPORATION Patent Department 26701 Telegraph Road, Southfield, Michigan 48034-2442 (248) 948-2355 Customer No. 26922</p> </div> <div style="text-align: center;">  SIGNATURE <u>Anne Gerry Sabourin</u> Name <u>33,772</u> REGISTRATION NUMBER </div> </div>					

10/525268

DT13 Rec'd PCT/PTO 22 FEB 2005
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andreas POPPE , et al.	Practitioner's Docket No. PAT-01050
Serial No.: This application is a National Phase application of PCT/EP2003/010922 filed 02. October 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: NANOPARTICLES, METHOD FOR MODIFYING THEIR SURFACES, DISPERSION OF NANOPARTICLES, METHOD FOR THE PRODUCTION AND THE UTILIZATION THEREOF	<u>EXPRESS MAIL CERTIFICATE</u> I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 000 414 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. February ²² 15, 2005 Date  Marjorie Ellis

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Alexandria, VA 22313-1450


☒ English Application
Specification on pages; claims on pages;
the abstract on page(s); drawings on page(s).

10/525268

DT12 PCT/PTC 22 FEB 2005

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☒ German Text Application

Specification on	<input type="text" value="46"/>	pages;	claims on	<input type="text" value="11"/>	pages;
the abstract on	<input type="text" value="01"/>	page(s);	drawings on	<input type="text"/>	page(s).

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<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney
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DT13 Received PCT/PTO 22 FEB 2005
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X	Information Disclosure Statement
X	From PTO-1449
X	Cited References
X	International Search Report
X	Germany International Search Report

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<input checked="" type="checkbox"/> Copy of cover sheet from the PCT Published Application
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<input checked="" type="checkbox"/> Copy of Cover page of the Priority Document

10/525268

DT13 Rec'd PCT/PTO 22 FEB 2005

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